

# TOPOLOGICAL ANOMALIES

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# GRAPH COMPLEX HOMOLOGY

presented on A POSTER FULL OF FORMULAS based on original research by PAUL-HERMANN BALDUF and SIMONE HU at the Mathematical Institute of the UNIVERSITY OF OXFORD and reported in ARXIV 2503.09558.

## **Propagator in topological QFT**

#### Position space

• *n*-dimensional topological QFT, position space  $\vec{x} = (x^{(1)}, \dots, x^{(n)})^{\mathsf{T}}$ , with field differential operator = de Rham operator

$$d = dx^{(1)} \partial_{x^{(1)}} + dx^{(2)} \partial_{x^{(2)}} + \ldots + dx^{(n)} \partial_{x^{(n)}}.$$

 Propagator is Green function of d, defined by  $\mathrm{d}P_n(\vec{x}) = \frac{2\pi^{\frac{n}{2}}}{\Gamma(\frac{n}{2})} \, \delta^n(\vec{x}) \, \mathrm{d}x_1 \wedge \ldots \wedge \, \mathrm{d}x_n.$  It is

$$P_n(\vec{x}) = \frac{\Omega_n}{|\vec{x}|^n} = \frac{\sum_{j=1}^n (-1)^j x^{(j)} dx^{(1)} \wedge \widehat{dx^{(j)}} \wedge dx^{(n)}}{\sqrt{\vec{x} \cdot \vec{x}}^n}.$$

 $\Omega_n$  is the projective n-dimensional volume form

• Examples:  $P_1 = \frac{x}{|x|} = \operatorname{sgn}(x),$ 

$$P_{2} = \frac{x^{(2)} dx^{(1)} - x^{(1)} dx^{(2)}}{x^{(1)^{2}} + x^{(2)^{2}}} = \frac{r^{2} \sin^{2} \varphi d\varphi + r^{2} \cos^{2} \varphi d\varphi}{r^{2}} = d\varphi.$$
• Notice that the integrand factorizes:

#### Parametric space

Recall integral repr. of Euler gamma function,

$$\frac{1}{|\vec{x}|^n} = \frac{1}{\Gamma(\frac{n}{2})} \int_0^\infty \frac{1}{a^{\frac{n}{2}+1}} e^{-\frac{\vec{x}^2}{a}} da.$$

• For each component  $x^{(j)}$  introduce  $s^{(j)} := \frac{x^{(j)}}{\sqrt{a}}$ . Then  $ds^{(j)} = \frac{dx^{(j)}}{a^{\frac{1}{2}}} - \frac{x^{(j)}}{2a^{\frac{3}{2}}} da$  [GKW25; Bud+23]. Wedge product:

$$ds^{(1)} \wedge \ldots \wedge ds^{(n)} = \frac{dx^{(1)} \wedge \ldots \wedge dx^{(n)}}{a^{\frac{n}{2}}} + \frac{da \wedge \Omega_n}{2a^{\frac{n}{2}+1}}.$$

• If one integrates a, the first term vanishes and

$$\int_0^\infty e^{-\vec{s}^2} ds^{(1)} \wedge \ldots \wedge ds^{(n)} = \frac{\Gamma(\frac{n}{2})}{2} \frac{\Omega_n}{(\vec{x}^2)^{\frac{n}{2}}} = \frac{\Gamma(\frac{n}{2})}{2} P_n(\vec{x}).$$

Each of the n directions contributes  $e^{s^{(j)^2}} ds^{(j)}$ .

## Parametric integrals for anomalies: The topological form

• BRST formalism: Differential Q, gauge-invariant "physical" observables A are  $0^{th}$  homology group. That is,

$$QA = 0$$
 and  $\nexists B : A = QB$ .

• A classically gauge invariant observable might violate gauge invariance at quantum level ("anomaly"). Work in perturbation theory, let  $\mathcal{O}_i$  be local operators. Define bracket [GKW25]

$$\{\mathcal{O}_1,\ldots,\mathcal{O}_k\}\coloneqq Q\left(\int_{\mathbb{D}^{n(k-1)}}\mathcal{O}_1\cdots\mathcal{O}_k\right).$$

• The integral is a sum over Feynman integrals with k vertices in the n-dimensional TQFT,

$$\{\mathcal{O}_1,\mathcal{O}_2,\ldots\}\coloneqq Q\left(\int_{\mathbb{R}^{n(k-1)}}\mathcal{O}_1\cdots\mathcal{O}_k\right)=\sum_{\text{Graphs }G}\frac{1}{|\text{Aut}(G)|}I_G\prod_{v\in V_G}\prod_i\varphi_{i,v}.$$
 External leg structure symmetry factor Feynman integral

• Parametric integrand factorizes along dimension  $\Rightarrow$  consider 1-dimensional integrand  $\alpha_G$ . Schwinger parameter  $a_e$  for each edge. Coordinates  $x_e^{\pm} \in \mathbb{R}$ . Then  $I_G = \int \alpha_G \wedge \alpha_G \wedge \ldots$  with the **topological form** 

$$\alpha_G \coloneqq \frac{1}{\pi^{\frac{|E_G|}{2}}} \int \cdots \int \bigwedge_{e \in E_G} e^{-s_e^2} \, \mathrm{d}s_e$$
 (differential form of degree  $\ell$ ), where  $s_e := \frac{x_e^+ - x_e^-}{\sqrt{a_e}}$ .

• Key results of [BG25]: Topological form is given by graph matrices and Dodgson polynomials

$$\alpha_G = \frac{1}{\pi^{\frac{\ell}{2}} 4^{\ell} \left(\frac{\ell}{2}\right)! \; \psi_G^{\frac{\ell+1}{2}}} \sum_{\substack{\text{spanning} \\ \text{tree}}} \det \left( \mathbb{I}[T] \right) \left( \sum_{\sigma \in \mathfrak{S}_{\overline{T}}} \psi_G^{\sigma(f_1), \sigma(f_2)} \cdots \psi_G^{\sigma(f_{\ell-1}), \sigma(f_{\ell})} \right) \bigwedge_{f \not \in T} \, \mathrm{d}a_f,$$

and  $\alpha_G \wedge \alpha_G = 0$  for all graphs (Kontsevich Formality theorem).

### Kontsevich formality theorem

• Given is a classical field theory: Field variable  $\phi(t,x)$ , canonical conjugate  $\pi(t,x)$ . Hamilton function  $H(\phi(t,x),\pi(t,x))$ . Poisson bracket  $\{f,g\}\in C^{\infty}$ . Equations of motion:

$$\partial_t \phi = \{\phi, H\}, \quad \partial_t \pi = \{\pi, H\}, \quad \{\phi, \pi\} = 1.$$

Deformation quantisation: Find star product \*

s.t. 
$$[f,g]_\star := f\star g - g\star f \stackrel{!}{=} \hbar\left\{f,g\right\} + \mathcal{O}\left(\hbar^2\right)$$
 .

Should be associative  $f \star (g \star h) = (f \star g) \star h$ .

• Power series ansatz, differential operators  $B_i(f,g)$ .

$$f \star g = B_0(f,g) + \hbar B_1(f,g) + \hbar^2 B_2(f,g) + \dots,$$

 $B_0(f,g)=f\cdot g$  and  $B_1(f,g)=rac{1}{2}\left\{f,g
ight\}$ Solution in [Kon03]: Graphs  $\Gamma$  embedded in the upper half plane  $\{z \in \mathbb{C} | \Im(z) > 0\}.$ 

• Angle  $\varphi(p,q)$  between geodesic  $p \longrightarrow q$  and vertical line  $p \longrightarrow i\infty$ . Each graph is weighted by an integral  $W_G = \text{const} \times \int \bigwedge_{e \in E_G} d\varphi_e$ . Star product is

$$\star = \cdot + \sum_{n=1}^{\infty} \hbar^n \sum_{G} W_G B_G.$$

the boundary: 
$$c_G:=\int_{\mathbb{R}^{2(|V|-1)}}\bigwedge_e\,\mathrm{d}\varphi_e=\int_{\mathbb{R}^{2(|V|-1)}}\int_{\sigma_G}e^{\vec{s}^2}\bigwedge_e\,\mathrm{d}s_e^{(1)}\,\mathrm{d}s_e^{(2)}$$

• To prove associativity, show vanishing of terms at

• Solving position integrals yields  $c_G = \int_{\sigma_G} \alpha_{\Gamma} \wedge \alpha_{\Gamma}$ . So  $\alpha_G \wedge \alpha_G = 0$  implies associativity of  $\star$ .

• Easy proof with  $\alpha_G = \phi_G$ :  $\operatorname{Pf}(A)^2 = \det(A)$ , so

$$\phi_{G} \wedge \phi_{G} \propto \frac{\left(\operatorname{Pf}\left(\operatorname{d}\Lambda\Lambda^{-1}\operatorname{d}\Lambda\right)\right)^{2}}{\det\Lambda} = \det\left(\Lambda^{-1}\right)\det\left(\operatorname{d}\Lambda\Lambda^{-1}\operatorname{d}\Lambda\right)$$

$$= \det\left(\Lambda^{-1}\operatorname{d}\Lambda\Lambda^{-1}\operatorname{d}\Lambda\right) = \det\left(\left(\Lambda^{-1}\operatorname{d}\Lambda\right)^{2}\right)$$

$$=: \det\left(M\right) = \frac{1}{(\ell/2)!}B_{n}\left(s_{1}, s_{2}, \ldots\right),$$

where  $B_n$  are Bell polynomials and  $s_i$  are given by canonical forms (only  $\beta^{4k+1} \neq 0$  due to cyclicity of trace and symmetry of  $\Lambda$ ):

$$s_j = -\frac{(j-1)!}{2} \operatorname{tr} (M^j) = -\frac{(j-1)!}{2} \operatorname{tr} ((\Lambda^{-1} d\Lambda)^{2j})$$
$$= -\frac{(j-1)!}{2} \beta_G^{2j} = 0 \quad \forall j \quad \Rightarrow \phi_G \wedge \phi_G = 0.$$

# "The topological form is the Pfaffian form"

Let  $\mathcal{C}$  be any choice of cycle incidence matrix and  $\mathcal{P}$  any choice of path matrix, then  $\det(\mathcal{C} \mid \mathcal{P}) \in \{+1, -1\}$  and

"Topological form" 
$$\longrightarrow \alpha_G = \frac{\det\left(\mathcal{C} \,|\, \mathcal{P}\right)}{2^\ell} \,\,\phi_G \,\,\leftarrow$$
 "Pfaffian form"

#### Wait, what is a Pfaffian?

• Let M be a  $2n \times 2n$  skew-symmetric matrix with commuting entries. The Pfaffian is

$$Pf(M) = \frac{1}{2^n n!} \sum_{\sigma \in \mathfrak{S}_{2n}} \operatorname{sgn} \sigma \cdot M_{\sigma(1), \sigma(2)} \cdots M_{\sigma(2n-1), \sigma(2n)}.$$

• If a skew-symmetric M has odd dimensions, set Pf(M) = 0. Then  $Pf(M)^2 = det(M)$  for all skew-symmetric matrices.

$$\operatorname{Pf}\begin{pmatrix}0&b\\-b&0\end{pmatrix} = b$$

$$Pf \begin{pmatrix} 0 & b & c & d \\ -b & 0 & g & h \\ -c & -g & 0 & l \\ -d & -h & -l & 0 \end{pmatrix} = bl - ch + dg.$$

#### Consequences

#### Topological form

- Immediate algebraic properties i.e. convergence
- Explicit, easily computable formula for all  $\ell$
- Quadratic relations coming from Stokes' relations:

 $\delta I_G + \frac{1}{2}[I_G, \mathfrak{m}] = 0, \quad I_G = \langle G, \mathfrak{m} \rangle$ Equivalent to Maurer-Cartan equation for  $\mathfrak{m}$ , a

sum over even-looped multiedges (dipoles).

#### Pfaffian form

- Interpretation of  $\phi_G$  as parametric integrand corresponding to single topological dimension of integrals computing violations of BRST-closedness.
- $\phi_G \wedge \phi_G = 0$  gives simpler proof and generalizes Kontsevich's formality result
- Position space representation of  $I_G = \int_{\sigma_G} \phi_G$

Dunce's cap G is a graph on 3 vertices and 4 edges,

# **Proof ingredients: Graph matrices**

with  $\ell = 2$  loops.

Let  $E_G$  be set of edges,  $V_G$  set of vertices. Leave out one vertex  $v_{\star}$  (physics interpretation: Fix at the origin) Loop number  $\ell = |E_G| - (|V_G| + 1)$ . Assign one Schwinger parameter  $a_e$  to each edge e.

$$\mathcal{D} = egin{pmatrix} a_1 & 0 & 0 & 0 \ 0 & a_2 & 0 & 0 \ 0 & 0 & a_3 & 0 \ 0 & 0 & 0 & a_4 \end{pmatrix}, \qquad \mathbb{I} = egin{pmatrix} a_1 & 0 & 0 & 0 \ 0 & 0 & a_3 & 0 \ 0 & 0 & 0 & a_4 \end{pmatrix}$$

$$\begin{pmatrix}
0\\0\\-1\\1
\end{pmatrix}, \qquad \mathcal{P} = \begin{pmatrix}
1&0\\0&0\\-1&0\\0&-1
\end{pmatrix}$$
cidence paths  $v$  to  $v$ 

• Laplacians:  $\mathcal{L} = \mathbb{I}^{\intercal} \mathcal{D}^{-1} \mathbb{I} = \begin{pmatrix} \frac{1}{a_1} + \frac{1}{a_2} & -\frac{1}{a_1} \\ -\frac{1}{a_1} & \frac{1}{a_1} + \frac{1}{a_2} + \frac{1}{a_4} \end{pmatrix}, \qquad \Lambda = \mathcal{C}^{\intercal} \mathcal{D} \mathcal{C} = \begin{pmatrix} a_1 + a_2 + a_3 & a_3 \\ a_3 & a_3 + a_4 \end{pmatrix}.$ 

• Symanzik polynomial:  $\psi_G = \det \Lambda = \det \mathcal{L} \cdot \prod a_e = a_3 a_4 + a_1 (a_3 + a_4) + a_2 (a_3 + a_4).$ 

• Matrix tree theorem: The monomials of  $\psi$  are the complements of spanning trees,  $\psi = \sum \prod a_e$ .

Expanded vertex Laplacian:

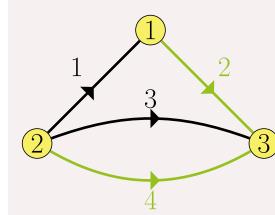
$$\mathbf{M} := \begin{pmatrix} \mathcal{D} & \mathbb{I} \\ -\mathbb{I}^T & 0 \end{pmatrix} = \begin{pmatrix} a_1 & 0 & 0 & 0 & 1 & -1 \\ 0 & a_2 & 0 & 0 & 1 & 0 \\ 0 & 0 & a_3 & 0 & 0 & 1 \\ 0 & 0 & 0 & a_4 & 0 & 1 \\ -1 & -1 & 0 & 0 & 0 & 0 \\ 1 & 0 & -1 & -1 & 0 & 0 \end{pmatrix}$$

In M, the first 4 rows and columns refer to edges, the last 2 rows and columns refer to vertices  $v_1, v_2$ . Dodgson Polynomials: Minors of M. Example:

$$\psi^{v_1,v_1} = \det \begin{pmatrix} a_1 & 0 & 0 & 0 & -1 \\ 0 & a_2 & 0 & 0 & 0 \\ 0 & 0 & a_3 & 0 & 1 \\ 0 & 0 & 0 & a_4 & 1 \\ 1 & 0 & -1 & -1 & 0 \end{pmatrix} = a_2 (a_1 a_3 + a_1 a_4 + a_3 a_4),$$

 $\psi^{v_1,v_2} = -a_2 a_3 a_4 = \psi^{v_2,v_1}, \qquad \psi^{v_2,v_2} = (a_1 + a_2) a_3 a_4.$ They satisfy numerous identities.

# **Example: Topological/Pfaffian form for the Dunce's cap**



G has five spanning trees T. For example, consider  $T = \{2, 4\}$ . Then  $E \setminus T = \{f_1, f_2\} = \{1, 3\}$  and  $\mathbb{I}[T] = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$  and  $\psi^{1,3} = -a_4$ .

Contribution of 
$$T$$
: 
$$\frac{(+1)}{16\pi(a_1a_3 + a_2a_3 + a_1a_4 + a_2a_4 + a_3a_4)^{3/2}} \cdot (-2a_4) da_1 \wedge da_1$$

$$\Lambda^{-1} = \frac{1}{\psi_G} \begin{pmatrix} a_3 + a_4 & -a_3 \\ -a_3 & a_1 + a_2 + a_3 \end{pmatrix} \quad \text{and} \quad \mathrm{d}\Lambda = \begin{pmatrix} \mathrm{d}a_1 + \mathrm{d}a_2 + \mathrm{d}a_3 & \mathrm{d}a_3 \\ \mathrm{d}a_3 & \mathrm{d}a_3 + \mathrm{d}a_4 \end{pmatrix} \qquad \text{gives} \quad \phi_G = 4\alpha_G.$$

# **Commutative graph complexes**

The **odd graph complex**  $GC_3$  is a quotient of a  $\mathbb{Q}$ -vector space spanned by *oriented* graphs (G, o) [Kon93]

$$\mathsf{GC}_3 \coloneqq \bigoplus_{(G,o)} \mathbb{Q}(G,o)/\sim$$
, where the orientation  $o \in \det \mathbb{Z}^{V_G} \otimes \bigotimes_{e \in E_G} \det \mathbb{Z}^{H(e)} \cong \mathbb{Z}$ 

and G is connected with vertex valencies  $\geq 3$ . An orientation o is given by (vertex ordering + edge directions), or equivalently (cycle basis + edge ordering) [CV03]. GC<sub>3</sub> is bigraded by loop number  $\ell$  and  $k := \deg(G) = |E_G| - 3\ell$ 

• The relations are: modulo isomorphisms  $f: G \cong G'$ by  $(G,o)\stackrel{(1)}{\sim}(G',f_*(o))$  and modulo orientation flips  $(G,o)\stackrel{(2)}{\sim} -(G,-o)$ . This implies that all graphs with tadpoles (or other *odd* automorphisms) vanish:

$$\stackrel{(1)}{=} \quad \stackrel{(2)}{=} \quad - \quad \stackrel{(2)}{=}$$

• Multi edges do not vanish automatically, but graph which are only multi edges with even number of edges (=odd number of loops) vanish:

• Let  $G/\gamma$  denote contraction of subgraph  $\gamma \subset G$  to a vertex. Define the boundary operator

$$\partial(G, o) = \sum_{e \in E_G} (G, o)/e.$$

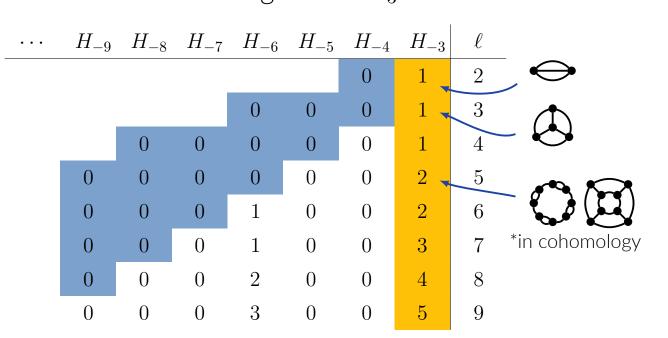
Example: All even-loop multiedges are closed.

Graph homology is

$$H_{\bullet}(\mathsf{GC}_3) = \frac{\ker \partial}{\operatorname{im} \partial} = \bigoplus_{\ell, k} \operatorname{gr}_{\ell} H_k(\mathsf{GC}_3)$$

- Homologies are known up to  $\ell \approx 11$  [Wil25]. One finds only few classes, but for  $\ell \to \infty$ , their dimension grows super-exponentially [BZ24].
- $H^{-3}$  related to "algebra of 3-graphs" [DKC98] and thus Vassiliev invariants in knot theory [Vog11].

# Homologies of GC<sub>3</sub>:



The even graph complex  $GC_2$  is defined similarly but with orientation  $o \in \deg \mathbb{Z}^{E_G}$  given by an edge ordering and with degree  $k = |E_G| - 2\ell$ . Now multiedges vanish and tadpoles do not.

# Orientation integrals on the odd graph complex: The Pfaffian form

• Connected graph with loop number  $\ell$ , and differential wrt Schwinger parameters

$$\Lambda := \mathcal{C}^{\mathsf{T}} \mathcal{D} \mathcal{C}, \qquad \mathrm{d} \Lambda = \mathrm{d} \left( \mathcal{C}^{\mathsf{T}} \mathcal{D} \mathcal{C} \right) = \mathcal{C}^{\mathsf{T}} \, \mathrm{d} \mathcal{D} \mathcal{C}.$$

 $\Lambda$  is a symmetric  $\ell imes\ell$  matrix (and positive-definite) and  $\mathrm{d}\Lambda\cdot\Lambda^{-1}\cdot\mathrm{d}\Lambda$  is skew-symmetric when  $\ell$  is even.

• The **Pfaffian form**  $\phi_G$  [BHP24] and the *primitive* canonical forms  $\beta_G^{4k+1}$  [Bro21] are defined as

$$\phi_G = := \frac{1}{(-2\pi)^{\ell/2}} \frac{\operatorname{Pf}\left(\operatorname{d}\Lambda \cdot \Lambda^{-1} \cdot \operatorname{d}\Lambda\right)}{\sqrt{\det \Lambda}} \quad \text{and} \quad \beta_G^{4k+1} := \operatorname{tr}\left((\Lambda^{-1}\operatorname{d}\Lambda)^{4k+1}\right), \text{ for } k \ge 1.$$

Note  $\beta_X^n = 0$  for symmetric matrices X if  $n \neq 4k + 1$ .

• Change of cycle basis C' = CP with constant matrix  $P \in GL_{\ell}(\mathbb{Z})$ :

$$\phi_{\Lambda'} = \phi_{\Lambda} \cdot \det P = \pm \phi_{\Lambda}$$

$$\beta_{\Lambda'}^{4k+1} = \beta_{\Lambda}^{4k+1} \qquad \Leftarrow \qquad \frac{\mathrm{d}\Lambda'\Lambda'^{-1}\,\mathrm{d}\Lambda' = P^{\mathsf{T}}\left(\,\mathrm{d}\Lambda\Lambda^{-1}\,\mathrm{d}\Lambda\right)P, \ \Lambda'^{-1}\,\mathrm{d}\Lambda' = P^{-1}(\Lambda^{-1}\,\mathrm{d}\Lambda)P}{\mathrm{known:} \ \mathrm{Pf}(A^{\mathsf{T}}BA) = \det A\,\mathrm{Pf}(B), \quad \mathrm{trace is cyclic}}$$

Any wedge product of these forms, **orientation forms**  $\phi \wedge \omega$ , changes sign by det P under changes of basis.

- Closed forms:  $d\phi = 0$  and  $d\beta^{4k+1} = 0$ , and generate a Hopf algebra of forms where  $\beta$  are primitive.
- Integral over simplex  $\sigma_G = \{[a_1 : \ldots : a_{|E_G|}], a_e > 0\} \in \mathbb{P}(\mathbb{R}_+^{|E_G|})$  is always finite and satisfies Stokes' relation

$$I_G(\omega) = \int_{\sigma_G} \phi_G \wedge \omega_G, \quad \delta I(\omega) + [I(\omega), \mathfrak{m}] + \frac{1}{2} \sum_{(\omega)} (-1)^{|\omega'|} [I(\omega''), I(\omega')] = 0$$

where  $\mathfrak{m}$  is a sum over even-looped multiedges (dipoles), weighted by automorphism factors.

- These are well-defined on  $GC_3$ ; under cocycle conditions, is an integration pairing that computes homology!
- Generalized Feynman integrals:  $\int_{\tau} \phi_G \wedge \omega_G = \int_{\tau} \frac{Q(a_e)}{\sqrt{\ell+1/2}} \, \Omega_{|E_G|} \quad \text{where } Q(a_e) \text{ is a polynomial.}$

#### Why are integrals detecting homology?

- Let G be some (linear combination of) graphs such that  $\partial G = 0$ , i.e. checked by explicit computation. Hard part: Does there  $\exists F$  such that  $\partial F = G$ ?
- Stokes' theorem: Let  $\int_F = \int_{\sigma_F}$  and  $d\omega = 0$ ,

$$0 = \int_{F} d\omega = \int_{\partial F} \omega = \int_{G} \omega, \quad \text{if } \partial F = G.$$

• Thus if  $\int \omega \neq 0$  one knows that  $G \neq \partial F$ .

That is, G is not exact, and since  $\partial G = 0$ , this G defines a homology class in the even/odd graph complex (depending on  $\omega$ ).

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